

07/20/01  
U.S. PATENT & TRADEMARK OFFICE  
PTO

07-23-01

A/REC

PATENT APPLICATION TRANSMITTAL

(only for nonprovisional applications under 37 C.F.R. 1.53(b))

Correspondence Address:  
FROMMER LAWRENCE & HAUG LLP  
745 FIFTH AVENUE  
New York, New York 10151  
Tel. (212) 588-0800

J1036 U.S.P.T.O.  
09/910288  
07/20/01

Attorney Docket Number: 450100-3752.1  
Date: July 20, 2001

TO: ASSISTANT COMMISSIONER FOR PATENTS  
Box REISSUE PATENT APPLICATION  
Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office of an application for reissue of U.S. Patent No. 5,926,116 in the name of :

**H. Kitano et al.**

Entitled: **INFORMATION RETRIEVAL APPARATUS AND METHOD**

The following are enclosed:

Specification (7 pages) and one page of Abstract

6 Claims (including 3 independent claims(s))

Our check for \$710.00 calculated as follows:

Basic Fee, \$ 710.00 (\$355.00).....	\$ 710.00
Number of Claims in excess of 20 at \$18.00 (\$9.00) each:.....	\$ 0.00
Number of Independent Claims in excess of 3 at \$ 80.00 (\$40.00) each:....	\$ 0.00
Multiple Dependent Claim Fee at \$270.00 (\$135.00).....	\$ 710.00
Total Filing Fee.....	\$
Assignment Recording Fee \$40.00.....	\$

Oath or Declaration and Power of Attorney

New \_\_\_\_\_ signed  unsigned

Copy from a prior application (37 C.F.R. 1.63(d))

Request for Use of Original Drawings Pursuant to 37 C.F.R. 1.174(a) (7 pages)

Certified Copy of each of the following application(s) to substantiate the claim(s) for priority made in the Declaration was filed in U.S. Patent No. 5,926,116:

<u>Application No.</u>	<u>Filed</u>	<u>In</u>
07-334445	12/22/95	Japan

Please charge any additional fees required for the filing of this application or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,  
FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

*Cooley Kessler #38,511*  
By: *William S. Frommer*  
*fax: William S. Frommer*  
Reg. No. 25,506

00021119

**CERTIFICATE OF MAILING – SEPARATE PAPER**

Attorney Docket No. : 450100-3752.1  
Application to  
Reissue Patent No. : 5,926,116  
Issued : July 20, 1999  
Patentees : H. Kitano et al.

Date of Deposit July 20, 2001 via "Express Mail" # EL 819162007 US

I hereby certify that an Application to Reissue Patent No. 5,926,116, along with Inventors' Declaration and Power of Attorney, Request for Use of Original Drawings, Offer to Surrender, Assent of Assignee, Statement Under 37 C.F.R. §3.73(b), Letter and Patent Application Transmittal have been deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

*Edward Nay*

(Typed or printed name of person mailing paper or fee)

*Edward Nay*

(Signature of person mailing paper or fee)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patentee : H. Kitano et al.

Application to  
Reissue Patent No. : 5,926,116

Issued : July 20, 1999

Title: : **INFORMATION RETRIEVAL APPARATUS  
AND METHOD**

745 Fifth Avenue  
New York, NY 10151

**LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed are application papers for reissue of Letters Patent in the name of  
H. Kitano et al., entitled "INFORMATION RETRIEVAL APPARATUS AND METHOD",  
consisting of the following papers:

Specification ( 7 pages)

Abstract (1 page)

Claims ( 6, with 3 independent claims)

Declaration of Inventors

Request for Use of Original Drawings Pursuant to 37 C.F.R. 1.174(a)

7 Sheets of Drawings

Offer to Surrender

Assent of Assignee

Statement Under 37 C.F.R. §3.73(b)

PATENT  
450100-3752.1

Check in the amount of \$710.00 for the filing fee of this reissue application.

Please charge any additional fees to Deposit Account No. 50-0320.

In accordance with 37 C.F.R. 1.53(b), a filing date of July 20, 2001 should be assigned to this application. A signed Declaration will be filed at a later date pursuant to 37 C.F.R. 1.53(d).

Respectfully submitted,  
FROMMER LAWRENCE & HAUG LLP

By: *William S. Frommer*  
*for: William S. Frommer*  
Reg. No. 25,506  
(212) 588-0800

Enclosures

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patentee : H. Kitano et al.  
Application to Reissue Patent No. : 5,926,116  
Issued : July 20, 1999  
Title : **INFORMATION RETRIEVAL APPARATUS AND METHOD**

745 Fifth Avenue  
New York, NY 10151

**REQUEST FOR USE OF ORIGINAL DRAWINGS**  
**PURSUANT TO 37 C.F.R. 1.174 (a)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. 1.174 (a), a request for use of drawings is respectfully made as transfer of the original drawings to this reissue application is contemplated. A copy of the figures from the Patent No. 5,926,116 is attached. No changes whatsoever are to be made in the drawings.

Respectfully submitted,  
FROMMER LAWRENCE & HAUG LLP

By:

*Gordon Kessler #35,506*  
*Gordon Kessler*  
for: William S. Frommer  
Reg. No. 25,506  
(212) 588-0800

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patentee : H. Kitano et al.  
Application to Reissue Patent No. : 5,926,116  
Issued : July 20, 1999  
Title : **INFORMATION RETRIEVAL APPARATUS AND METHOD**

745 Fifth Avenue  
New York, NY 10151

**STATEMENT UNDER 37 C.F.R. §3.73 (b)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned, authorized to sign this Statement on behalf of the assignee, states that **SONY CORPORATION**, a corporation of Japan, is the assignee of the entire right, title and interest in the reissue patent application identified above by virtue of an assignment from the inventor.

The document is of record in the Patent and Trademark Office in connection with original application 08/770,040.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

Respectfully submitted,

SONY CORPORATION

By: \_\_\_\_\_

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : H. Kitano et al.

Application to  
Reissue Patent No.: 5,926,116

Issued : July 20, 1999

For : **INFORMATION RETRIEVAL APPARATUS AND METHOD**

745 Fifth Avenue  
New York, New York 10151

**ASSENT OF ASSIGNEE**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned, authorized by the assignee, Sony Corporation, states that Sony Corporation, a corporation of Japan, is the assignee of the entire right, title and interest in the patent identified above by virtue of an assignment from the inventors of application Serial No. 08/770,040 which issued as U.S. Patent 5,926,116 on July 20, 1999, the present application being a reissue thereof. The assignment was recorded on April 22, 1997 at Reel 8484, Frame 0634.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patentee : H. Kitano et al.  
Application to Reissue Patent No. : 5,926,116  
Issued : July 20, 1999  
Title : **INFORMATION RETRIEVAL APPARATUS AND METHOD**

745 Fifth Avenue  
New York, NY 10151

**OFFER TO SURRENDER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned, authorized by the assignee, Sony Corporation, hereby offers to surrender the original U.S. Patent No. 5,926,116, upon the allowance of this application, pursuant to 37 C.F.R. 1.178.

Respectfully submitted,

SONY CORPORATION

By: \_\_\_\_\_

Sony Corporation hereby assents to the filing of the above-identified application  
to reissue U.S. Patent 5,926,116.

SONY CORPORATION

By \_\_\_\_\_

Date: \_\_\_\_\_

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